

Janus Henderson Investors Job Description

Role: Risk Apprentice (18 Month FTC)
Location: London
Department: Risk and Compliance

The company

We are a leading independent global asset manager, dedicated to delivering the best outcomes for our clients through a highly diversified range of actively-managed products. We are truly global, supporting our individual and institutional investors across a range of products, encompassing equities, fixed income, multi-asset and alternatives. We build client trust by being dependably excellent in all things, and we put the client at the heart of everything we do. Our high-energy and collaborative culture at Janus Henderson helps our client achieve their goals and ensures that our people love the place they work.

The department

The Risk and Compliance function comprises Financial Risk, Operational Risk, Business Continuity and Resilience and Compliance. It forms the second line of defence in the firm's global risk management framework and aims to champion a culture of risk and compliance throughout the organisation. The function effectively partners with the business to ensure that everyone complies with our external regulatory requirements and expectations, and internal policies and procedures.

The Function aims to keep the firm abreast of all regulatory obligations, changes and developments within a rapidly changing regulatory landscape, as well as becoming a trusted adviser in financial, operational and regulatory risks and the controls that are in place to mitigate against these.

Overview of the role

This role is a Level 3 Risk/Compliance Apprenticeship which is a work based qualification combining practical training in a job and study. The apprenticeship is a 18 month fixed term contract. Full on the job training is provided by Janus Henderson, with a full apprenticeship package delivered by Fitch Learning in the workplace.

You will be a member of the Financial Risk team helping to identify, assess, monitor, and escalate market, liquidity and counterparty risks. You will support the Financial Risk team to deliver their objectives, working on business as usual tasks as well as projects.

The Investment20/20 programme

You will be also part of the wider Investment20/20 trainee community, providing you with opportunities to network with other trainees across the industry and participate in socials and industry insight events.

Duties and responsibilities

You will:

- Support the Financial Risk team in delivering efficient processes in

responding client's information requests

- Support the Financial Risk team in writing up the minutes of the committee or working group meetings
- Support the Financial Risk team in delivering effective processes in daily monitoring of market, liquidity and counterparty risks
- Produce regular and ad hoc reporting to support the Financial Risk governance committees
- Produce regular reporting to fund boards on behalf of Financial Risk
- Partner with stakeholders to support, maintain the risk management tools used by the Financial Risk team
- Partner with IT to maintain the assessment, monitoring, and reporting of liquidity risk, and investment risk
- Carry out additional duties as assigned

Technical skills and qualifications

- At least 5 GCSEs at Grade C (4) or above (or equivalent) including English and Maths
- Good IT skills, including PowerPoint, Excel and Word

Competencies required

In addition to putting clients first, acting like an owner, and succeeding as a team, the competencies for this role include:

- Excellent communication and interpersonal skills, both written and spoken
- Ability to plan and organise workload to perform both routine and ad hoc tasks
- Proactive and self-sufficient
- Ability to explain and simplify complex concepts and methodologies
- Ability to build strong working relationships with internal clients
- Ability to learn new concepts, tools, methodologies quickly, and a desire to continuously learn something new every day
- Ability to use initiative and influence a variety of stakeholders across all levels of the business
- Ability to work in a team-oriented environment and take direction from other team members
- Excellent analytical skills, with the ability to present detailed analysis in a clear and concise manner
- High degree of numeracy and excellent attention to detail

Ongoing competence in the role to be assessed, in line with applicable regulatory requirements, by:

- Annual performance appraisal
- Completion of all assigned compliance training

Compliance requirements

At a minimum the role will require you to:

- Place the interest of Janus Henderson's Clients first, act in accordance with TCF (Treating Customers Fairly) principles
- Understand and follow laws and regulations applicable for your role, seeking the help of your supervising manager or Compliance if additional guidance is required
- Understand and abide by all Janus Henderson policies applicable to your role, and seek support/guidance of the policy owner guidance when required
- You are ultimately accountable for your actions and responsible for seeking further information on any or all of the above as necessary.

We are an equal opportunity / affirmative action employer. All applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, disability or veteran status.

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Reporting line:	Head of EMEA/APAC Financial Risk
Job Code:	50044033
Date created/Revised date:	May 2021

Entity	Regime	Function	Regulated
N/A	Certification Regime	[E.g. Client Dealing/ Managers of Certified Staff]	No
N/A	Senior Managers Regime	[E.g.] SMF3	No
N/A	K&C (MiFID II)	N/A	No
N/A	FLSA (U.S. only)	N/A	No
N/A	AML Reg 21	N/A	No